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INTELLECTUAL PROPERTY LAW

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March 18, 2004



Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application Transmittal  
Inventor(s): Daniel A. Klein  
Title: *Faucet*  
Assignee: Clack Corporation  
Priority: U.S. Provisional Patent Application No. 60/456,205, filed  
March 19, 2003  
**USPTO Customer Account No.: 23598**  
Attorney Docket No.: 31.075

Dear Sir:

Enclosed in connection with the above-identified utility patent application are:

- the patent application, consisting of
  - 15 pages of specification,
  - 18 claims on 6 sheets,
  - 7 Drawings on 5 sheets,
  - and an Abstract on 1 sheet;
- Fee Transmittal Form PTO/SB/17 (01/03);
- check in the amount of **\$425.00** in payment of the government filing fee for the patent application (\$385) by a *small* entity and assignment recordation fee (\$40);
- a Declaration for Patent Application executed by the inventor, Daniel A. Klein;

- an executed Assignment from the inventor, Daniel A. Klein, to Clack Corporation, and Recordation Form Cover Sheet, Form PTO 1595 (10/02);
- an Information Disclosure Statement (IDS), Form PTO-1449, and copies of the cited documents.

**Small Entity Statement:**

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

**Related Application – Priority Claim**

This application claims priority under 35 U.S.C. §119(e) to U.S. Provisional Patent Application No. 60/456,205, entitled "*Countertop Faucet*," filed March 19, 2003.

• **Deposit Account Authorization**

The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,



Timothy E. Newholm  
Registration No. 34,400

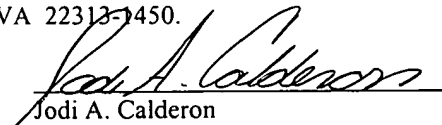
Enclosures

**Certification Under 37 CFR 1.10**

Express Mail Label No: EV313040925US

Date of Deposit: **March 18, 2004**

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450.

  
Jodi A. Calderon

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$425)

## Complete if Known

Application Number 60/456,205  
Filing Date 3/19/2003  
First Named Inventor Klein  
Examiner Name Unassigned  
Art Unit Unassigned  
Attorney Docket No. 31.075

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number  
Deposit Account Name

50-1170

Boyle Fredrickson Newholm Stein & Gratz S.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility filing fee	385
		Design filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional filing fee	

SUBTOTAL (1) (\$385)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20**= 0	9	0
3	-3**= 0	43	0
Multiple Dependent			

Large Entity		Small Entity		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
		Surcharge - late filing fee or oath	
		Surcharge - late provisional filing fee or cover sheet	
		Non-English specification	
		For filing a request for <i>ex parte</i> reexamination	
		Requesting publication of SIR prior to Examiner action	
		Requesting publication of SIR after Examiner action	
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
		Extension for reply within first month	
		Extension for reply within second month	
		Extension for reply within third month	
		Extension for reply within fourth month	
		Extension for reply within fifth month	
		Notice of Appeal	
		Filing a brief in support of an appeal	
		Request for oral hearing	
		Petition to institute a public use proceeding	
		Petition to revive - unavoidable	
		Petition to revive - unintentional	
		Utility issue fee (or reissue)	
		Design issue fee	
		Plant issue fee	
		Petitions to the Commissioner	
		Processing fee under 37 CFR 1.17(q)	
		Submission of Information Disclosure Stmt	
		Recording each patent assignment per property (times number of properties)	40
		Filing a submission after final rejection (37 CFR 1.129(a))	
		For each additional invention to be examined (37 CFR 1.129(b))	
		Request for Continued Examination (RCE)	
		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Timothy E. Newholm	34400	414.225.9755
Signature	Date	
	March 18, 2004	

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.